

## FSB Premier Wealth Management's Response to a Disaster

FSB Premier Wealth Management, Inc. (Premier) maintains important disaster recovery and business continuity plans that may be activated in the event of a significant business disruption. The firm realizes the critical nature of being able to continue material operations if an incident occurs that could affect mission-critical business units and systems. These plans are key components in maintaining emergency procedures and are required by securities industry regulations.

In planning for potential significant business disruptions, Premier has studied a number of outcomes from varying scenarios, including, as examples only, a disruption to a single building, a city-wide business disruption, and a regional disruption. The firm's plans are intended to permit the continuation of key business operations during most types of disruptions by resuming mission critical operations, usually within the same business day as the disruption. To assist with business continuity, the firm maintains backup technology processing capabilities in alternate locations. In cases of an extreme disruption, where the continuation of business may not be practical or in the best interest of the firm or its clients, Premier has implemented steps necessary to allow clients prompt access to their funds and securities.

However, clients should be aware that because the firm's plans contain details of a confidential and proprietary nature, they cannot be distributed to the public. Clients should also note that Premier's business continuity plan is subject to modification, and may be changed from time to time as permitted by applicable law.

## Notice of SIPC Coverage

Per FINRA Rule 2266, FSB Premier Wealth Management, Inc. is required to inform all of our clients that they may obtain information about SIPC, including the SIPC brochure, by contacting SIPC through their web site address ([www.sipc.org](http://www.sipc.org)) and/or telephone number (202-371-8300).

**FACTS****WHAT DOES FSB PREMIER WEALTH MANAGEMENT, INC. DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and birth date
- Employment status and income
- Investment objective and other details to determine suitability, including risk tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

**How?**

All financial companies need to share customer's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer's personal information; the reasons FSB Premier Wealth Management, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does FSB Premier Wealth share?	Can you limit this sharing?
<b>For our everyday business purposes</b> – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes</b> – to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes</b> – information about your transactions and experiences	No	We don't share
<b>For our affiliates' everyday business purposes</b> – information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

**Questions?**

Call 202-371-8300 or go to [www.spic.org](http://www.spic.org)

Who we are	
Who is providing this notice?	FSB Premier Wealth Management, Inc.
What we do	
How does FSB Premier protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>Electronic information may be retrieved only by use of access codes provided to authorized personnel.</p>
How does FSB Premier collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>■ open an account or request account transactions</li> <li>■ wire transfers or direct us to buy/sell securities</li> <li>■ tell us about your investments or retirement portfolio</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes – information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ <i>Access to client information is restricted and protected. Employees have access to client information only to the extent necessary to provide appropriate services to your accounts.</i></li> </ul>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ <i>Client information is provided to outside entities on an as-needed basis only, as authorized by Sections 248.14 and 245.15 of Regulation S-P and Section 326 of the USA PATRIOT Act</i></li> </ul>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>■ <i>FSB Premier Wealth Management, Inc. does not jointly market.</i></li> </ul>
Other important information	
<p>It is important to you, and to us, that this information is not disclosed to anyone except when absolutely necessary to provide appropriate services to your accounts. Specific instances follow: To RBC Correspondent Services as necessary to provide clearing and custody services. To independently verify your identity by comparing information obtained from a consumer reporting agency, public database, or other source. To fund mutual fund companies and other vendors who require the information to open accounts. As requested by law or regulation. At your specific direction. In summary, we disclose no information about you or your accounts to anyone unless specifically directed by you, except under the circumstances outlined above. Per FINRA Rule 2266, FSB Premier Wealth Management, Inc. is required to inform all of our clients that they may obtain information about SIPC, including the SIPC brochure, by contacting SIPC through their web site address (<a href="http://www.sipc.org">www.sipc.org</a>) and/or telephone number (292-371-8300).</p>	